# Algebraic Lambda-calculus

Renato Neves





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## Recalling Lambda-calculus

$$\frac{x: \mathbb{A} \in \Gamma}{\Gamma \vdash x: \mathbb{A}}$$

$$\overline{\Gamma \vdash * : 1}$$

$$\frac{\Gamma \vdash \nu : \mathbb{A} \times \mathbb{B}}{\Gamma \vdash \pi_1 \, \nu : \mathbb{A}}$$

$$\frac{\Gamma \vdash \nu : \mathbb{A} \qquad \Gamma \vdash u : \mathbb{B}}{\Gamma \vdash \langle \nu, u \rangle : \mathbb{A} \times \mathbb{B}}$$

$$\frac{\Gamma, x : \mathbb{A} \vdash v : \mathbb{B}}{\Gamma \vdash \lambda x : \mathbb{A} \cdot v : \mathbb{A} \to \mathbb{B}}$$

$$\frac{\Gamma \vdash \nu : \mathbb{A} \to \mathbb{B} \quad \Gamma \vdash u : \mathbb{A}}{\Gamma \vdash \nu \ u : \mathbb{B}}$$

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# **Sequential Composition Revisited**

A "native" deductive rule

$$\frac{\Gamma \vdash v : \mathbb{A} \qquad x : \mathbb{A} \vdash u : \mathbb{B}}{\Gamma \vdash x \leftarrow v; u : \mathbb{B}}$$

It reads "bind the computation v to x and then run u"

Interpretation is defined as

$$\frac{ \llbracket \Gamma \vdash \nu : \mathbb{A} \rrbracket = f \quad \llbracket x : \mathbb{A} \vdash u : \mathbb{B} \rrbracket = g }{ \llbracket \Gamma \vdash x \leftarrow \nu; u : \mathbb{B} \rrbracket = g \cdot f }$$

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## Signatures

## **Signature**

A set  $\Sigma = \{(\sigma_1, n_1), (\sigma_2, n_2), \dots\}$  of operations  $\sigma_i$  paired with the <u>number</u> of inputs  $n_i$  they are supposed to receive

These constitute the aforementioned algebraic operations

## **Examples**

- Exceptions : {(e,0)}
- Read a bit from the environment : {(read, 2)}
- Wait calls :  $\{(\text{wait}_n, 1) \mid n \in \mathbb{N}\}$
- Non-deterministic choice :  $\{(+,2)\}$
- Continuous trajectories : . . .

## Algebraic Operations in Lambda-calculus

We take a signature  $\Sigma$  of operations and introduce a new rule

$$\frac{(\sigma, n) \in \Sigma \quad \forall 1 \leq i \leq n. \ \Gamma \vdash m_i : \mathbb{A}}{\Gamma \vdash \sigma(m_1, \dots, m_n) : \mathbb{A}}$$

## **Examples**

- $x : \mathbb{A} \vdash \operatorname{wait}_1(x) : \mathbb{A}$  adds delay of one second to returning x
- $\Gamma \vdash e() : A raises an exception e$
- $\Gamma \vdash \text{write}_{\nu}(m) : \mathbb{A} \text{writes } \nu \text{ in } \underline{\text{memory}} \text{ and then runs } m$
- $x : \mathbb{A} \times \mathbb{A} \vdash \operatorname{read}(\pi_1 x, \pi_2 x) : \mathbb{A} \underline{\operatorname{receives}}$  a bit: if 0 returns  $\pi_1 x$  and  $\pi_2 x$  otherwise

#### **Exercise**

Define a  $\lambda$ -term with variable x that requests a bit from the user and depending on the value read it returns x with either one or two seconds of delay.

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## The Million-Dollar Question

How to provide semantics to such programming languages?

The short answer: via monads!!

The long answer: see the next slides :-) ...

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## The Core Idea

Programs  $\Gamma \vdash v : \mathbb{A}$  interpreted as functions

$$\llbracket \Gamma \vdash \nu : \mathbb{A} \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket \mathbb{A} \rrbracket$$

... and there exists only one function of type

$$\llbracket \Gamma \rrbracket \longrightarrow \llbracket 1 \rrbracket$$

Problem: it is then necessarily the case that

$$\llbracket \Gamma \vdash x : 1 \rrbracket = \llbracket \Gamma \vdash \operatorname{wait}_1(x) : 1 \rrbracket$$

despite these programs having different execution times

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### The Core Idea

Interpreted  $\Gamma \vdash \nu : \mathbb{A}$  as a function

$$\llbracket \Gamma \vdash \nu : \mathbb{A} \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow \llbracket \mathbb{A} \rrbracket$$

But values now come with effects . . .

Instead of having  $[\![\mathbb{A}]\!]$  as set of outputs, we will have a set  $\mathcal{T}[\![\mathbb{A}]\!]$  of effectful values

$$\llbracket \lceil \vdash m : \mathbb{A} \rrbracket : \llbracket \lceil \rrbracket \longrightarrow T \llbracket \mathbb{A} \rrbracket$$

T should thus be a <u>set-constructor</u>: given a set of outputs X it returns a set of effectful values TX over X

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## **Examples**

For wait calls, the corresponding set-constructor T is defined as

$$X \mapsto \mathbb{N} \times X$$

i.e. values in X paired with an execution time

For exceptions, the corresponding set-constructor T is defined as

$$X \mapsto X + \{e\}$$

i.e. values in X plus an element e representing the exception

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### **Another Problem**

This idea of a set-constructor T looks good, but ...

... it breaks sequential composition

$$\llbracket \Gamma \vdash m : \mathbb{A} \rrbracket : \llbracket \Gamma \rrbracket \longrightarrow T \llbracket \mathbb{A} \rrbracket$$

$$[\![x:\mathbb{A}\vdash n:\mathbb{B}]\!]\ :\ [\![\mathbb{A}]\!]\ \longrightarrow\ T[\![\mathbb{B}]\!]$$

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### **Another Problem**

This idea of a set-constructor T looks good, but ...

... it breaks sequential composition

$$\llbracket \Gamma \vdash m : \mathbb{A} \rrbracket \; : \; \llbracket \Gamma \rrbracket \; \longrightarrow \; T \llbracket \mathbb{A} \rrbracket$$
$$\llbracket x : \mathbb{A} \vdash n : \mathbb{B} \rrbracket \; : \; \llbracket \mathbb{A} \rrbracket \; \longrightarrow \; T \llbracket \mathbb{B} \rrbracket$$

We'll need convert a function  $h: X \to TY$  into one of type

$$h^*: TX \to TY$$

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## **Examples**

There are set-constructors T for which this is possible

In the case of wait-calls

$$\frac{f: X \to TY = \mathbb{N} \times Y}{f^*(n, x) = (n + m, y) \text{ where } f(x) = (m, y)}$$

In the case of exceptions

$$\frac{f: X \to TY = Y + \{e\}}{f^*(x) = f(y) \qquad f^*(e) = e}$$

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$$[x: 1 \vdash y \leftarrow \operatorname{wait}_{1}(x); \operatorname{wait}_{2}(y): 1]$$

$$= [y: 1 \vdash \operatorname{wait}_{2}(y): 1]^{*} \cdot [x: 1 \vdash \operatorname{wait}_{1}(x): 1]$$

$$= (v \mapsto (2, v))^{*} \cdot (v \mapsto (1, v))$$

$$= v \mapsto (3, v)$$

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## Yet Another Problem

Idea of interpreting  $\lambda$ -terms  $\Gamma \vdash m : \mathbb{A}$  as functions

$$\llbracket \lceil \vdash m : \mathbb{A} \rrbracket : \llbracket \lceil \rrbracket \longrightarrow T \llbracket \mathbb{A} \rrbracket$$

looks good but it presupposes that all terms invoke effects Some terms do not do this, *e.g.* 

$$\llbracket x: \mathbb{A} \vdash x: \mathbb{A} \rrbracket : \llbracket \mathbb{A} \rrbracket \longrightarrow \llbracket \mathbb{A} \rrbracket$$

#### Solution

 $T[\![\mathbb{A}]\!]$  should include effect-free values, and we should have

$$\eta_{\llbracket \mathbb{A} \rrbracket} : \llbracket \mathbb{A} \rrbracket \longrightarrow T \llbracket \mathbb{A} \rrbracket$$

which maps a value to its effect-free representation

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# **Examples**

In the case of wait-calls

$$\frac{TX = \mathbb{N} \times X}{\eta_X(x) = (0, x)}$$

(i.e. no wait call invoked)

In the case of exceptions

$$\frac{TX = X + \{e\}}{\eta_X(x) = x}$$

(i.e. no exception e raised)

Semantics 19 / 33 Previous analysis leads to the notion of a monad

#### Monad

Triple  $(T, \eta, (-)^*)$  where T is a set-constructor,  $\eta$  a function  $n_X: X \to TX$  for each set X, and  $(-)^*$  an operation

$$\frac{f:X\to TY}{f^*:TX\to TY}$$

s.t. the following laws hold:  $\eta^* = id$ ,  $f^* \cdot \eta = f$ ,  $(f^* \cdot g)^* = f^* \cdot g^*$ 

These laws are required to forbid "weird" computational behaviour

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## **Exercises**

Show that the set-constructor

$$X \mapsto \mathbb{N} \times X$$

can be equipped with a monadic structure

Show that the set-constructor

$$X \mapsto X + 1$$

can be equipped with a monadic structure

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### **Exercises**

Show that the set-constructor

$$X \mapsto \{U \mid U \subseteq X\}$$

can be equipped with a monadic structure

Show that the set-constructor

$$X \mapsto \{\mu \mid \mu : X \to [0,1] \text{ a distribution}\}$$

can be equipped with a monadic structure

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# To Keep In Mind

### Recall that.

- we fixed a signature  $\Sigma$  of algebraic operations
- we now have monads at our disposal
- Programs  $\Gamma \vdash \nu : \mathbb{A}$  can be seen either as functions of type  $\llbracket \Gamma \rrbracket \to \llbracket \mathbb{A} \rrbracket$  or of type  $\llbracket \Gamma \rrbracket \to T \llbracket \mathbb{A} \rrbracket$

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## **Semantics**

Types  $\mathbb{A}$  interpreted as sets  $\mathbb{A}$ 

$$\llbracket 1 \rrbracket = \{\star\} \qquad \llbracket \mathbb{A} \times \mathbb{B} \rrbracket = \llbracket \mathbb{A} \rrbracket \times \llbracket \mathbb{B} \rrbracket \qquad \llbracket \mathbb{A} \to \mathbb{B} \rrbracket = (\mathcal{T} \llbracket \mathbb{B} \rrbracket)^{\llbracket \mathbb{A} \rrbracket}$$

Typing contexts  $\Gamma$  interpreted as

$$\llbracket \Gamma \rrbracket = \llbracket x_1 : \mathbb{A}_1, \dots, x_n : \mathbb{A}_n \rrbracket = \llbracket \mathbb{A}_1 \rrbracket \times \dots \times \llbracket \mathbb{A}_n \rrbracket$$

For each  $(\sigma, n) \in \Sigma$  and set X we postulate the existence of a map

$$\llbracket \sigma \rrbracket_X : (TX)^n \longrightarrow TX$$

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## **Semantics**

$$\frac{x_i : \mathbb{A} \in \Gamma}{\llbracket \Gamma \vdash x_i \rrbracket = \pi_i} \qquad \overline{\llbracket \Gamma \vdash * \rrbracket = !}$$

$$\frac{\llbracket \Gamma \vdash \nu : \mathbb{A} \rrbracket = f \qquad \llbracket \Gamma \vdash u : \mathbb{B} \rrbracket = g}{\llbracket \Gamma \vdash \langle \nu, u \rangle : \mathbb{A} \times \mathbb{B} \rrbracket = \langle f, g \rangle}$$

$$\frac{\llbracket \Gamma, x : \mathbb{A} \vdash_{c} m : \mathbb{B} \rrbracket = f}{\llbracket \Gamma \vdash \lambda x : \mathbb{A} \cdot m : \mathbb{A} \to \mathbb{B} \rrbracket = \lambda f}$$

$$\frac{\llbracket \Gamma \vdash \nu : \mathbb{A} \times \mathbb{B} \rrbracket = f}{\llbracket \Gamma \vdash \pi_1 \nu : \mathbb{A} \rrbracket = \pi_1 \cdot f}$$

$$\frac{\llbracket \Gamma \vdash \nu : \mathbb{A} \rrbracket = f}{\llbracket \Gamma \vdash_{c} \mathbf{return} \ \nu : \mathbb{A} \rrbracket = \eta \cdot f}$$

$$\frac{\llbracket \Gamma \vdash_{c} m : \mathbb{A} \rrbracket = f \qquad \llbracket x : \mathbb{A} \vdash_{c} n : \mathbb{B} \rrbracket = g}{\llbracket \Gamma \vdash_{c} x \leftarrow m ; n : \mathbb{B} \rrbracket = g^{*} \cdot f}$$

$$\frac{\llbracket \Gamma \vdash \nu : \mathbb{A} \to \mathbb{B} \rrbracket = f \quad \llbracket \Gamma \vdash u : \mathbb{A} \rrbracket = g}{\llbracket \Gamma \vdash_{c} \nu u : \mathbb{B} \rrbracket = \operatorname{app} \cdot \langle f, g \rangle}$$

$$\frac{(\sigma, n) \in \Sigma \qquad \forall 1 \leq i \leq n. \, \llbracket \Gamma \vdash_{\mathsf{c}} m_i : \mathbb{A} \rrbracket = f_i}{\llbracket \Gamma \vdash_{\mathsf{c}} \sigma(m_1, \dots m_n) : \mathbb{A} \rrbracket = \llbracket \sigma \rrbracket_{\llbracket \mathbb{A} \rrbracket} \cdot \langle f_1, \dots, f_n \rangle}$$

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**Sharing Contexts** 

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### **E**xercise

Build a  $\lambda$ -term that receives a function  $f : \mathbb{A} \to \mathbb{A}$ , a value  $x : \mathbb{A}$ , and applies f to x twice

In classical  $\lambda$ -calculus such would be defined as

$$f: \mathbb{A} \to \mathbb{A}, x: \mathbb{A} \vdash f(f x): \mathbb{A}$$

It will be useful to have two programs in sequential composition that are able share contexts

I.e. it will be useful to have the following rule for seq. composition

$$\frac{\Gamma \vdash_{\mathsf{c}} m : \mathbb{A} \qquad \Gamma, x : \mathbb{A} \vdash_{\mathsf{c}} n : \mathbb{B}}{\Gamma \vdash_{\mathsf{c}} x \leftarrow m \; ; n : \mathbb{B}}$$

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It will be useful to have two programs in sequential composition that are able share contexts

I.e. it will be useful to have the following rule for seq. composition

$$\frac{\Gamma \vdash_{\mathsf{c}} m : \mathbb{A} \qquad \Gamma, x : \mathbb{A} \vdash_{\mathsf{c}} n : \mathbb{B}}{\Gamma \vdash_{\mathsf{c}} x \leftarrow m \; ; n : \mathbb{B}}$$

This would allow us to solve the previous exercise quite easily

$$f: \mathbb{A} \to \mathbb{A}, x: \mathbb{A} \vdash_{\mathsf{c}} y \leftarrow f(x); f(y): \mathbb{A}$$

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Natural way of interpreting the rule

$$\frac{\llbracket \Gamma \vdash_{\mathsf{c}} m : \mathbb{A} \rrbracket = f \qquad \llbracket \Gamma, x : \mathbb{A} \vdash_{\mathsf{c}} n : \mathbb{B} \rrbracket = g}{\llbracket \Gamma \vdash_{\mathsf{c}} x \leftarrow m ; n : \mathbb{B} \rrbracket = g^* \cdot \langle \mathsf{id}, f \rangle}$$

but 
$$\langle \operatorname{id}, f \rangle : \llbracket \Gamma \rrbracket \to \llbracket \Gamma \rrbracket \times T \llbracket \mathbb{A} \rrbracket$$
 and  $g^* : T(\llbracket \Gamma \rrbracket \times \llbracket \mathbb{A} \rrbracket) \to T \llbracket \mathbb{B} \rrbracket$ 

Need to find a suitable function

$$\operatorname{str}: \llbracket \Gamma \rrbracket \times T \llbracket \mathbb{A} \rrbracket \longrightarrow T (\llbracket \Gamma \rrbracket \times \llbracket \mathbb{A} \rrbracket)$$

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Natural way of interpreting the rule

$$\frac{\llbracket \Gamma \vdash_{\mathsf{c}} m : \mathbb{A} \rrbracket = f \qquad \llbracket \Gamma, x : \mathbb{A} \vdash_{\mathsf{c}} n : \mathbb{B} \rrbracket = g}{\llbracket \Gamma \vdash_{\mathsf{c}} x \leftarrow m ; n : \mathbb{B} \rrbracket = g^* \cdot \langle \mathsf{id}, f \rangle}$$

$$\mathsf{but}\ \langle\mathsf{id},f\rangle: \llbracket\Gamma\rrbracket \to \llbracket\Gamma\rrbracket \times T\llbracket\mathbb{A}\rrbracket \ \mathsf{and} \ g^\star: T(\llbracket\Gamma\rrbracket \times \llbracket\mathbb{A}\rrbracket) \to T\llbracket\mathbb{B}\rrbracket$$

Need to find a suitable function

$$\operatorname{str}: \llbracket \Gamma \rrbracket \times T \llbracket \mathbb{A} \rrbracket \longrightarrow T (\llbracket \Gamma \rrbracket \times \llbracket \mathbb{A} \rrbracket)$$

... and there is actually a natural way of doing such!

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# **Tensorial Strength**

For every monad T and function  $f: X \to Y$  we can build

$$Tf = (\eta \cdot f)^* : TX \to TY$$

Moreover for every  $x \in X$  we can define

$$id_X: Y \to X \times Y, \quad y \mapsto (x, y)$$

From these we define the strength of T

$$\operatorname{str}: X \times TY \to T(X \times Y), \quad (x,t) \mapsto (T\operatorname{id}_x)(t)$$

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## **Exercises**

Given an explicit definition for the tensorial strength of

- the monad of exceptions,
- the monad of durations,
- the powerset monad,
- the distributions monad

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### **Exercises**

Consider the  $\lambda$ -term

$$f: \mathbb{A} \to \mathbb{A}, x: \mathbb{A} \vdash_{\mathsf{c}} y \leftarrow f(x); f(y): \mathbb{A}$$

What is its execution time when

$$f$$
 is given by  $(v \mapsto (1, v))$ 

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## Semantics

$$x_{i}: \mathbb{A} \in \Gamma$$

$$\llbracket \Gamma \vdash x_{i} \rrbracket = \pi_{i}$$

$$\llbracket \Gamma \vdash * \rrbracket = !$$

$$\llbracket \Gamma, x: \mathbb{A} \vdash_{c} m: \mathbb{B} \rrbracket = f$$

$$\frac{\llbracket \Gamma \vdash v : \mathbb{A} \rrbracket = f \qquad \llbracket \Gamma \vdash u : \mathbb{B} \rrbracket = g}{\llbracket \Gamma \vdash \langle v, u \rangle : \mathbb{A} \times \mathbb{B} \rrbracket = \langle f, g \rangle}$$

$$\frac{\llbracket \Gamma \vdash \nu : \mathbb{A} \rrbracket = f}{\llbracket \Gamma \vdash_{\mathsf{c}} \mathbf{return} \ \nu : \mathbb{A} \rrbracket = \eta \cdot f}$$

$$\frac{ \llbracket \Gamma \vdash v : \mathbb{A} \rrbracket = f }{ \llbracket \Gamma \vdash_{\mathsf{c}} \mathbf{return} \ v : \mathbb{A} \rrbracket = \eta \cdot f } \qquad \frac{ \llbracket \Gamma \vdash_{\mathsf{c}} m : \mathbb{A} \rrbracket = f \qquad \llbracket \Gamma, x : \mathbb{A} \vdash_{\mathsf{c}} n : \mathbb{B} \rrbracket = g}{ \llbracket \Gamma \vdash_{\mathsf{c}} x \leftarrow m \ ; n : \mathbb{B} \rrbracket = g^* \cdot \operatorname{str} \cdot \langle \operatorname{id}, f \rangle }$$

$$\frac{\llbracket \Gamma \vdash v : \mathbb{A} \to \mathbb{B} \rrbracket = f \quad \llbracket \Gamma \vdash u : \mathbb{A} \rrbracket = g}{\llbracket \Gamma \vdash_{c} v u : \mathbb{B} \rrbracket = \operatorname{app} \cdot \langle f, g \rangle}$$

$$\frac{(\sigma, n) \in \Sigma \qquad \forall 1 \leq i \leq n. \, \llbracket \Gamma \vdash_{\mathsf{c}} m_i : \mathbb{A} \rrbracket = f_i}{\llbracket \Gamma \vdash_{\mathsf{c}} \sigma(m_1, \dots m_n) : \mathbb{A} \rrbracket = \llbracket \sigma \rrbracket_{\llbracket \mathbb{A} \rrbracket} \cdot \langle f_1, \dots, f_n \rangle}$$

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