

What is a (formal) logic?

A formal logic consists of

- A *logical language* in which (well-formed) sentences are expressed. It consists of
 - logical symbols whose interpretations are fixed
 - non-logical symbols whose interpretations vary
- A *semantics* that defines the intended interpretation of the symbols and expressions of the logical language.

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• A *proof system* that is a framework of rules for deriving valid judgments.

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What is SAT?

• The Boolean satisfiability (SAT) problem:

 Find an assignment to the propositional variables of the formula such that the formula evaluates to TRUE, or prove that no such assignment exists.

• SAT is an NP-complete decision problem.

- ► SAT was the first problem to be shown NP-complete.
- There are no known polynomial time algorithms for SAT.

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Logic and computer science

- Logic and computer science share a symbiotic relationship.
 - Logic provides language and methods for the study of theoretical computer science.
 - Computers provide a concrete setting for the implementation of logic.
 - Moreover, logic can be used to model the situations we encounter as computer science professionals, in such a way that we can reason about them formally.

• Logic is a fundamental part of computer science.

- Program analysis: static analysis, software verification, test case generation, program understanding, ...
- Artificial intelligence: constraint satisfaction, automated game playing, planning, ...
- Hardware verification: correctness of circuits, ATPG, ...
- Programming Languages: logic programming, type systems, programming language theory, ...

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What is SAT?

- Usually SAT solvers deal with formulas in conjunctive normal form (CNF)
 - ▶ literal: propositional variable or its negation. A, $\neg A$, B, $\neg B$, C, $\neg C$
 - clause: disjuntion of literals. $(A \lor \neg B \lor C)$
 - ► conjunctive normal form: conjuction of clauses. $(A \lor \neg B \lor C) \land (B \lor \neg A) \land \neg C$
- SAT is a success story of computer science
 - Modern SAT solvers can check formulas with hundreds of thousands variables and millions of clauses in a reasonable amount of time.
 - A huge number of practical applications.

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Why should we care?

- No matter what your research area or interest is, SAT solving is likely to be relevant.
- Very good toolkit because many difficult problems can be reduced deciding satisfiability of formulas in logic.

Network Security Management Fault Localization Network Security Management Fault Localization Maximum SatisfiabilityConfiguration Termination Analysis Software Testing Fitter Design Satisfiability Modulo Theories Quantified Boolean Formulas Software Model Checking Model Finding Hardware Model Checking Test Pattern Generation Model Finding Hardware Model Checking Model Finding Hardware Model Hardware Model Che

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Propositional logic

- The language of propositional logic is based on propositions, or declarative sentences which one can, in principle, argue as being "true" or "false".
- Propositional symbols are the atomic formulas of the language. More complex sentences are constructed using logical connectives.
- In classical propositional logic (PL) each sentence is either true or false.

(Classical) Propositional Logic

Syntax

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The alphabet of the propositional language is organised into the following categories.

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- Propositional variables: $P, Q, R, \ldots \in \mathcal{V}_{\mathsf{Prop}}$ (a countably infinite set)
- Logical connectives: ⊥ (false) ,⊤ (true), ¬ (not), ∧ (and), ∨ (or), → (implies), ↔ (equivalent)
- Auxiliary symbols: "(" and ")".

The set Form of formulas of propositional logic is given by the abstract syntax

 $\textbf{Form} \ni A, B \ ::= \ P \ | \ \bot \ | \ \top \ | \ (\neg A) \ | \ (A \land B) \ | \ (A \lor B) \ | \ (A \to B) \ | \ (A \leftrightarrow B)$

We let A, B, C, F, G, H, \ldots range over **Form**.

Outermost parenthesis are usually dropped. In absence of parentheses, we adopt the following convention about precedence. Ranging from the highest precedence to the lowest, we have respectively: \neg , \land , \lor , \rightarrow and \leftrightarrow . All binary connectives are right-associative.

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Semantics

The meaning of PL is given by the truth values true and false, where true \neq false. We will represent true by 1 and false by 0.

An *assignment* is a function $\mathcal{A} : \mathcal{V}_{\mathsf{Prop}} \rightarrow \{0, 1\}$, that assigns to every propositional variable a truth value.

An assignment \mathcal{A} naturally extends to all formulas, $\mathcal{A} : \mathbf{Form} \rightarrow \{0, 1\}$. The truth value of a formula is computed using *truth tables*:

F	A	B	$\neg A$	$A \wedge B$	$A \lor B$	$A \to B$	$A \leftrightarrow B$	\perp	Т
$\mathcal{A}_1(F)$	0	1	1	0	1	1	0	0	1
$\mathcal{A}_2(F)$	0	0	1	0	0	1	1	0	1
$\mathcal{A}_3(F)$	1	1	0	1	1	1	1	0	1
$\mathcal{A}_4(F)$	1	0	0	0	1	0	0	0	1

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This way the meaning of each connective is established.

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Validity, satisfiability, and contradiction

A formula F is

- *valid* iff it holds under every assignment. We write $\models F$. A valid formula is called a *tautology*.
- *satisfiable* iff it holds under some assignment.
- *unsatisfiable* iff it holds under no assignment. An unsatisfiable formula is called a *contradiction*.
 - refutable iff it is not valid.

Proposition

F is valid iff $\neg F$ is unsatisfiable

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Semantics

Let \mathcal{A} be an assignment and let F be a formula. If $\mathcal{A}(F) = 1$, then we say F holds under assignment \mathcal{A} , or \mathcal{A} models F. We write $\mathcal{A} \models F$ iff $\mathcal{A}(F) = 1$, and $\mathcal{A} \not\models F$ iff $\mathcal{A}(F) = 0$.

An alternative (inductive) definition of $\mathcal{A} \models F$ is

$\mathcal{A} \models$	= T		
$\mathcal{A} ot =$	_ =		
$\mathcal{A} \models$	= P	iff	$\mathcal{A}(P) = 1$
$\mathcal{A} \models$	$= \neg A$	iff	$\mathcal{A} \not\models A$
$\mathcal{A} \models$	$= A \wedge B$	iff	$\mathcal{A} \models A \text{ and } \mathcal{A} \models B$
$\mathcal{A} \models$	$= A \lor B$	iff	$\mathcal{A} \models A \text{ or } \mathcal{A} \models B$
$\mathcal{A} \models$	$A \to B$	iff	$\mathcal{A} \not\models A$ or $\mathcal{A} \models B$
$\mathcal{A} \models$	$= A \leftrightarrow B$	iff	$\mathcal{A} \models A \text{ iff } \mathcal{A} \models B$

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Validity, satisfiability, and contradiction

Classify the following formulas:

- $A \rightarrow B$ is satisfiable and refutable.
- $P \lor \neg P$ is valid.
- $A \wedge \neg A$ is a contradiction.
- $B \lor (A \to \neg B)$ is valid.
- $B \wedge (A \vee \neg B)$ is satisfiable and refutable.
- $\bullet \ A \to \neg A \lor B \ \text{ is satisfiable and refutable.}$
- $(A \land (A \to B)) \to B$ is valid.

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Consequence and equivalence

- $F \models G$ iff for every assignment A, if $A \models F$ then $A \models G$. We say G is a *consequence* of F.
- $F \equiv G$ iff $F \models G$ and $G \models F$. We say F and G are equivalent.
- Let Γ = {F₁, F₂, F₃,...} be a set of formulas. *A* ⊨ Γ iff *A* ⊨ F_i for each formula F_i in Γ. We say *A* models Γ.
 Γ ⊨ G iff *A* ⊨ Γ implies *A* ⊨ G for every assignment *A*. We say G is a consequence of Γ.

Proposition

- $F \models G$ iff $\models F \rightarrow G$
- $\Gamma \models G$ and Γ finite iff $\models \bigwedge \Gamma \to G$

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Consistency

Let $\Gamma = \{F_1, F_2, F_3, \dots\}$ be a set of formulas.

• Γ is *consistent* or *satisfiable* iff there is an assignment that models Γ .

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 We say that Γ is *inconsistent* or *unsatisfiable* iff it is not consistent and denote this by Γ ⊨ ⊥.

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Proposition

- $\{F, \neg F\} \models \bot$
- If $\Gamma \models \bot$ and $\Gamma \subseteq \Gamma'$, then $\Gamma' \models \bot$.
- $\Gamma \models F$ iff $\Gamma, \neg F \models \bot$

Some basic equivalences

$\begin{array}{c} A \lor A \\ A \land A \end{array}$	$ \begin{array}{c} \equiv & A \\ \equiv & A \end{array} $	$\begin{array}{rcl} A \wedge \neg A & \equiv & \bot \\ A \vee \neg A & \equiv & \top \end{array}$
$\begin{array}{c} A \lor B \\ A \land B \end{array}$	$ = B \lor A = B \land A $	$\begin{array}{ccc} A \wedge \top & \equiv & A \\ A \vee \top & \equiv & \top \end{array}$
$A \wedge (A \vee B)$	$\equiv A$	$A \wedge \bot \equiv \bot$
$\begin{array}{l} A \land (B \lor C) \\ A \lor (B \land C) \end{array}$	$ = (A \land B) \lor (A \land C) = (A \lor B) \land (A \lor C) $	$A \lor \bot \equiv A$ $\neg \neg A \equiv A$
$\neg (A \lor B) \\ \neg (A \land B)$	$ \equiv \neg A \land \neg B \equiv \neg A \lor \neg B $	$A \to B \equiv \neg A \lor B$
$A \leftrightarrow B$	$\equiv (A \to B) \land (B \to A)$	
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Substitution

- Formula G is a *subformula* of formula F if it occurs syntactically within F.
- Formula G is a strict subformula of F if G is a subformula of F and $G \neq F$

Substitution theorem

Suppose $F \equiv G$. Let H be a formula that contains F as a subformula. Let H' be the formula obtained by replacing some occurrence of F in H with G. Then $H \equiv H'$.

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Decidability

Given formulas F and G as input, we may ask:

Decision problems

Validity problem:	"Is F valid ?"
Satisfiability problem:	"Is F satisfiable ?"
Consequence problem:	"Is G a consequence of F ?
Equivalence problem:	"Are F and G equivalent ?"

All these problems are decidable!

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Complexity

• Computing a truth table for a formula is exponential-time in order to the number of propositional variables.

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- There are several techniques and algorithms for SAT solving that perform better in average.
- There are no known polynomial time algorithms for SAT.
 - If it exists, then P = NP, because the SAT problem for PL is NP-complete (it was the first one to be shown NP-complete).

Cook's theorem (1971)

SAT is NP-complete.

• **Conjecture:** Any algorithm that solves SAT is exponential in the number of variables, in the worst-case.

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Decidability

Any algorithm that works for one of these problems also works for all of these problems!

F is satisfiable	iff	eg F is not valid
$F \models G$	iff	$\neg(F \rightarrow G)$ is not satisfiable
$F \equiv G$	iff	$F \models G$ and $G \models F$
F is valid	iff	$F \equiv \top$

Truth-table method

For the satisfiability problem, we first compute a truth table for F and then check to see if its truth value is ever one.

This algorithm certainly works, but is very inefficient. It's exponential-time! $O(2^n)$

If F has n atomic formulas, then the truth table for F has 2^n rows.

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An example

The unicorn puzzle

- If the unicorn is mythical, then it is immortal.
- If the unicorn is not mythical, then it is a mortal mammal.
- If the unicorn is either immortal or a mammal, then it is horned.
- The unicorn is magical if it is horned.

• Questions:

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- Is the unicorn magical?
- Is it horned?
- Is it mythical?
- Is it possible for the unicorn to be simultaneously mythical and immortal?

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An example

- Consider the following propositional variables:
 - ▶ M the unicorn is mythical
 - ▶ *I* the unicorn is immortal
 - A the unicorn is mammal
 - H the unicorn is horned
 - G the unicorn is magical
- $\bullet\,$ If the unicorn is mythical, then it is immortal. $M \to I \label{eq:model}$
- If the unicorn is not mythical, then it is a mortal mammal. $\neg M \rightarrow (\neg I \land A)$
- If the unicorn is either immortal or a mammal, then it is horned. $(I \lor A) \to H$

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• The unicorn is magical if it is horned. $H \to G$

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SAT solvers

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An example

Let Γ be $\{M \to I, \neg M \to (\neg I \land A), (I \lor A) \to H, H \to G\}$

If the puzzle is consistent, Γ should be satisfiable.

Questions:

- Is the unicorn magical? $\Gamma \models G$?
- Is it horned? $\Gamma \models H$?
- Is it mythical? $\Gamma \models M$?

Recall that: $\Gamma \models F$ iff $\Gamma, \neg F$ UNSAT

- Is it possible for a unicorn to be simultaneously mythical and immortal? Is Γ, M ∧ ¬I SAT?
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SAT solving algorithms

- There are several techniques and algorithms for SAT solving.
- Usually SAT solvers receive as input a formula in a specific syntatical format.
- SAT solvers deal with formulas in conjunctive normal form (CNF)
 - ▶ literal: propositional variable or its negation. A, $\neg A$, B, $\neg B$, C, $\neg C$
 - clause: disjuntion of literals. $(A \lor \neg B \lor C)$
 - conjunctive normal form: conjuction of clauses. $(A \lor \neg B \lor C) \land (B \lor \neg A) \land \neg C$
- So, one has first to transform the input formula to this specific format preserving satisfiability.
- Most current state-of-the-art SAT solvers are based on the Davis-Putnam-Logemann-Loveland (DPLL) framework.

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DPLL framework

- The ideia is to incrementally construct an assignment compatible with a CNF, propagating the implications of the decisions made that are easy to detect and simplifying the clauses.
- A CNF is satisfied by an assignment if all its clauses are satisfied. And a clause is satisfied if at least one of its literals is satisfied.
- When a literal is true, the formula can be simplified by removing the clauses where the literal occurs and removing the opposite literal from the remaning clauses.
 - $\begin{array}{l} \bullet \quad (\neg A \lor \neg B) \land A \land (\neg B \lor A) \land (\neg A \lor C \lor B) \quad \text{choose } \mathcal{A}(A) = 1 \\ (\neg A \lor \neg B) \land A \land (\neg B \lor A) \land (\neg A \lor C \lor B) \quad \text{simplification} \\ \neg B \land (C \lor B) \end{array}$
- Unit propagation is the iterated application of the unit clause rule (assign true to a literal that is isolated in a clause) and subsequent simplification of the formula.

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DPLL framework: heuristics & optimizations

Many different techniques are applied to achieve efficiency in DPLL-based SAT solvers.

- Decision heuristic: a very important feature in SAT solving is the strategy by which the literals are chosen.
- Look-ahead: exploit information about the remaining search space.
 - unit propagation
 - ► pure literal rule
- Look-back: exploit information about search which has already taken place.
 - clause learning (new clauses are learnt from conflicts that prune the search space)
 - non-chronological backtracking (a.k.a. backjumping)
- Other techniques:
 - preprocessing (detection of subsumed clauses, simplification, ...)
 - (random) restart (restarting the solver when it seams to be is a hopeless branch of the search tree)

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DPLL algorithm

- The algorithm starts with an empty assignment and performs unit propagation, updating the assignment accordingly and simplifying the formula.
 - ▶ If the formula becomes empty, that means that the formula is SAT.
 - If the formula contains an empty clause, that indicates a contradiction (a conflict).
 - If no conclusion is attained, a decision about an unassigned variable is made, propagating the implications of this decision.
- In case a conflict is detected, the algorithm backtracks to the previous decision point and tries a different assignment for the last decision variable.
- DPLL is a complete algorithm for SAT. Unsatisfiability of the complete formula can only be detected after exhaustive search.
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Conflict-Driven Clause Learning (CDCL) solvers

• Conflict-Driven Clause Learning (CDCL) solvers

- ► DPLL framework.
- New clauses are learnt from conflicts.
- Structure of conflicts exploited (using implication graphs).
- Backtracking can be non-chronological.
- Efficient data structures (compact and reduced maintenance overhead).
- Backtrack search is periodically restarted.
- Can deal with hundreds of thousand variables and tens of million clauses.
- The most successful modern SAT solvers use this technology.
- The satisfiability library **SAT Live!**¹ is an online resource that proposes, as a standard, a unified notation and a collection of benchmarks for performance evaluation and comparison of tools.

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<sup>1</sup>http://www.satlive.org
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DIMACS CNF format

- DIMACS CNF format is a standard format for CNF used by most SAT solvers.
- Plain text file with following structure:

```
c <comments>
```

```
p cnf <num.of variables> <num.of clauses>
<clause> 0
< clause > 0
```

. . .

- Every number 1, 2, . . . corresponds to a variable (variable names have to be mapped to numbers).
- A negative number denote the negation of the corresponding variable.
- Every clause is a list of numbers, separated by spaces. (One or more lines per clause).

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Minisat demo		
\$ cc c A3 c A3 c P	t example.cnf = 1 = 2 = 3	
p cr 1 0 1 3 -1 -1 -1	F 3 4 0 3 2 0 0	
S m 	nisat example.cnf OUT	 55
rest cont deci prop cont Memer SAT	arts : 1 (0 /sec) sions : 1 (0.00 % random) (490 /sec) iggations : 1 (490 /sec) lict literals : 0 (nan % deleted) ry used : 0.16 MB time : 0.002041 s SFIABLE the UUT	
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DIMACS CNF format

Example

```
A_1 \wedge (A_1 \vee P) \wedge (\neg A_1 \vee \neg P \vee A_2) \wedge (A_1 \vee \neg A_2)
```

- We have 3 variables and 4 clauses.
- Let $A_1 = 1$, $A_2 = 2$ and P = 3.
- CNF file:
 - p cnf 3 4 1 0 1 3 0 -1 -3 2 0 1 -2 0

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SAT solver API

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• Several SAT solvers have API's for different programming languages that allow an incremental use of the solver.

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• For instance, $PySAT^2$ is a Python toolkit which provides a simple and unified interface to a number of state-of-art SAT solvers, enabling to prototype with SAT oracles in Python while exploiting incrementally the power of the original low-level implementations of modern SAT solvers.

from	pysat.solvers import Minis	at22	
s = M	linisat22()		
s.add	_clause([–1, 2]) _clause([–1, –2, 3])		
if s. p else: p	<pre>solve(): orint("SAT") orint(s.get_model()) orint("UNSAT")</pre>		
² https://pysathq.github.i	0		
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• Is there other possible models? To check that we must add the clause that

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corresponds to the negation of the above formula and check again.

 $\neg (A_1 \land \neg A_2 \land \neg P) \equiv \neg A_1 \lor A_2 \lor P$

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Modeling with PL

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Looking for $A_1 \wedge (A_1 \vee P) \wedge (\neg A_1 \vee \neg P \vee A_2) \wedge (A_1 \vee \neg A_2)$ models

	s =	Minisat22()			
	s.ac s.ac s.ac s.ac	dd_clause([1]) dd_clause([1, 3]) dd_clause([-1, -3, 2]) dd_clause([1, -2])			
	if s	<pre>s.solve(): print("SAT") print("Modelos:") m = s.get_model() print(m) s.add_clause([-l for l in m]) while s.solve(): m = s.get_model() print(m) s.add_clause([-l for l in m]) e: print("UNSAT")</pre>) in m])		
	SAT Model [1, - [1, 2 [1, 2	.os: -2, -3] 2, -3] , 3]			
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Example: Placement of guests

Placement of guests

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We have three chairs in a row and we need to place Anne, Susan and Peter.

- Anne does not want to sit next to Peter.
- Anne does not want to sit in the left chair.
- Susan does not want to sit to the left of Peter.

Can we satisfy these constrains? How can we sit the guests?

- Denote: Anne = 1, Susan = 2, Peter = 3 left chair = 1, middle chair = 2, right chair = 3
- Introduce a propositional variable x_{ij} for each pair (person i, place j)
- x_{ij} is true iff person *i* is sited in place *j*; x_{ij} is false otherwise

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Example: Placement of guests

• Anne does not want to sit next to Peter.

 $((x_{11} \lor x_{13}) \to \neg x_{32}) \land (x_{12} \to (\neg x_{31} \land \neg x_{33}))$

• Anne does not want to sit in the left chair.

 $\neg x_{11}$

• Susan does not want to sit to the left of Peter.

$$(x_{33} \to \neg x_{22}) \land (x_{32} \to \neg x_{21})$$

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- Are these constraints enough to model the problem?
- Can you point out an unexpected trivial solution?

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Example: Placement of guests

• No more than one person per chair.

Note that

 $\begin{aligned} x_{1c} &\to \neg x_{2c} \land \neg x_{3c} \equiv \neg x_{1c} \lor (\neg x_{2c} \land \neg x_{3c}) \equiv (\neg x_{1c} \lor \neg x_{2c}) \land (\neg x_{1c} \lor \neg x_{3c}) \\ x_{2c} &\to \neg x_{1c} \land \neg x_{3c} \equiv \neg x_{2c} \lor (\neg x_{1c} \land \neg x_{3c}) \equiv (\neg x_{2c} \lor \neg x_{1c}) \land (\neg x_{2c} \lor \neg x_{3c}) \\ x_{3c} &\to \neg x_{1c} \land \neg x_{2c} \equiv \neg x_{3c} \lor (\neg x_{1c} \land \neg x_{2c}) \equiv (\neg x_{3c} \lor \neg x_{1c}) \land (\neg x_{3c} \lor \neg x_{2c}) \end{aligned}$

Since \vee and \wedge are commutative and idempotent, we can remove the green clauses:

$$\bigwedge_{c=1}^{3} \left(\neg x_{1c} \lor \neg x_{2c}\right) \land \left(\neg x_{1c} \lor \neg x_{3c}\right) \land \left(\neg x_{2c} \lor \neg x_{1c}\right)$$

Using compact notation

$$\bigwedge_{c=1}^{3} \bigwedge_{a=1}^{2} \bigwedge_{b=a+1}^{3} (\neg x_{ac} \lor \neg x_{bc})$$

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Example: Placement of guests

There are two constrains that are implict in the problem.

• Everyone must be seated in a chair.

 $(x_{11} \lor x_{12} \lor x_{13}) \land (x_{21} \lor x_{22} \lor x_{23}) \land (x_{31} \lor x_{32} \lor x_{33})$

Using a compact notation:

 $\bigwedge_{i=1}^{3} \bigvee_{j=1}^{3} x_{ij}$

• No more than one person per chair. For each chair c=1,2,3,

 $(x_{1c} \to \neg x_{2c} \land \neg x_{3c}) \land (x_{2c} \to \neg x_{1c} \land \neg x_{3c}) \land (x_{3c} \to \neg x_{1c} \land \neg x_{2c})$

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This is correct, but there is some redundancy here...

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Example: Placement of guests

- The compact notation used is perhaps a bit more difficult to read, but is quit close to programming.
- For instance, using PySAT we can use 3 nested cicles to codify the formula

 $\bigwedge^{3} \bigwedge^{2} \bigwedge^{3} (\neg x_{ac} \lor \neg x_{bc})$

• See the Colab Notebook with an implementation of this example.

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Example: Graph coloring

Graph coloring

Can one assign one of K colors to each of the vertices of graph G=(V,E) such that adjacent vertices are assigned different colors?

- Create $|V| \times K$ variables:
 - x_{ij} is true iff vertex *i* is assigned color *j*
- For each edge (u, v), require different assigned colors to u and v:

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for each
$$1 \leq j \leq K, \;\; (x_{uj}
ightarrow
eg x_{vj})$$

• ...

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Example: Graph coloring

Summing up:

• Adjacent vertices must have different colors.

$$\bigwedge_{(u,v)\in E} \bigwedge_{j=1}^{K} (\neg x_{u,j} \lor \neg x_{vj})$$

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• At least one color to each vertex.

$$\bigwedge_{i=1}^{|V|} \bigvee_{j=1}^{K} x_{ij}$$

• At most one color to each vertex.

$$\bigwedge_{i=1}^{|V|} \bigwedge_{a=1}^{K-1} \bigwedge_{b=a+1}^{K} (\neg x_{ia} \lor \neg x_{ib})$$

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• See the Colab Notebook with an implementation of this problem.

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Example: Graph coloring

- Each vertex is assigned exactly one color.
 - <u>At least</u> one color to each vertex:

for each
$$1 \leq i \leq |V|, \quad \bigvee_{j=1}^{K} x_{ij}$$

• <u>At most</u> one color to each vertex:

for each
$$1 \le i \le |V|$$
, $\bigwedge_{a=1}^{K} (x_{ia} \to \bigwedge_{b=1, b \ne a}^{K} \neg x_{ib})$

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since \vee and \wedge are commutative and idempotent, a better encoding is

for each
$$1 \le i \le |V|$$
, $\bigwedge_{a=1}^{K-1} (x_{ia} \to \bigwedge_{b=a+1}^{K} \neg x_{ib})$

or equivalently,

for each
$$1 \le i \le |V|$$
, $\bigwedge_{a=1}^{K-1} \bigwedge_{b=a+1}^{K} (\neg x_{ia} \lor \neg x_{ib})$

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